



03-2603

54/26800  
Receipt  
#6

Express Mail No.: EL 451 599 831 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: *Peyne, et. al.*

Confirmation No. 3734

Application No.: 10/007,134

Group Art Unit: 2823

Filed: 12/04/2001

Examiner: J. Maldonado

For: POST ETCH CLEANING  
COMPOSITION FOR DUAL  
DAMASCENE SYSTEM

Attorney Docket No.: 8317-123-999

**REQUEST TO CORRECT FILING RECEIPT**

Office of Initial Patent Examinations  
Customer Service Center  
Washington, D.C. 20231

Sir:

Per a November 4, 2002 telephone conversation with your department and your department's instructions provided on same date during that telephone conversation, Applicants of the above-identified application submit a copy of the application transmittal sheet and a redlined copy of the filing receipt with changes indicated.

On December 4, 2001, Applicants filed the above-identified application and in that filing indicated the priority data claimed as follows:

"This is a divisional of application no. 09/343,532, filed June 30, 1999."

That application claims the benefit of application no. 60/092,024 filed 07/06/1998.

Somehow, possibly due to a clerical error in the U.S. Patent and Trademark Office but not due to actions by Applicants or Applicants' representatives, the priority data was errantly listed as:

"THIS APPLICATION IS A DIV OF 09/561,744 04/28/2000 PAT 6,348,239  
WHICH IS A DIV OF 09/343,532 06/30/1999  
WHICH CLAIMS BENEFIT OF 60/092,024 07/06/1998"

This priority data includes an additional reference to unrelated application no. 09/561,774, now U.S. Patent No. 6,348,239.

On November 4, 2002, Applicants' representative called your office and detailed the error. Your office instructed Applicants' representative to send in a copy of the document that contains the requested correct priority data (attached herewith as Exhibit A) along with a marked up copy of the filing receipt showing changes (attached herewith as Exhibit B). Your office indicated that such documents, along with this explanatory letter, should be sent to the above-listed address so that the error could be corrected.

Accordingly, enclosed herewith are the requested documents and Applicants request that a corrected filing receipt be sent to Applicants' representative in due time. For your convenience, that address is as follows:

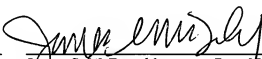
James S. McDonald  
Pennie & Edmonds LLP  
3300 Hillview Ave.  
Palo Alto, CA 94304

Should your office have any questions regarding this matter, please call James S. McDonald at 650-849-7631.

No fee is believed due to correct the filing receipt error because it is an error from the U.S. PTO. Should any fees be required, however, please charge such fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date March 24, 2003

  
James S. McDonald Reg. No. 44,229  
For Victor N. Balancia Reg. No. 31,231  
PENNIE & EDMONDS LLP  
3300 Hillview Avenue  
Palo Alto, California  
(650) 493-4935



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/007,134✓	12/04/2001✓	1762✓	740✓	8317-123-999✓	13	9	1

CONFIRMATION NO. 3734✓

24341  
Pennie & Edmonds, LLP  
3300 Hillview Avenue  
Palo Alto, CA 94304

## FILING RECEIPT



\*O00000007713109\*

RECEIVED RECORDS

APR 17 2002  
DOCKETING CA OFFICE

Date Mailed: 03/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Catherine M. Payne, Pleasanton, CA; ✓  
David J. Maloney, Pleasanton, CA; ✓  
Shihying Lee, Fremont, CA; ✓  
Wai Mun Lee, Fremont, CA; ✓  
Leslie W. Arkless, Glasgow, UNITED KINGDOM; ✓

## Assignment For Published Patent Application

EKC Technology, Inc.;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/501,744 04/20/2000 PAT 6,340,299  
WHICH IS A DIV OF 09/343,532 06/30/1999 ✓  
WHICH CLAIMS BENEFIT OF 60/092,024 07/06/1998

## Foreign Applications

If Required, Foreign Filing License Granted 03/25/2002

Projected Publication Date: 07/04/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Post etch cleaning composition for dual damascene system ✓

## Preliminary Class

427

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior application: Examiner K. ChenArt Unit 1765

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

This is a request for filing a divisional application under 37 CFR § 1.53(b), of pending prior application no. 09/343,532 filed on June 30, 1999.

of Catherine M. Payne, David J. Maloney, Shihying Lee, Wai Mun Lee  
(inventor(s) currently of record in prior application)

for POST ETCH CLEANING COMPOSITION FOR DUAL DAMASCENE SYSTEM  
(title of invention)

1. ☒ The filing fee is calculated below:

## PATENT APPLICATION FEE VALUE

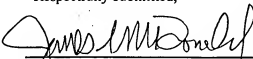
TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	9	-20	0	\$18.00 each	\$ 0.00
Independent	1	-3	0	\$84.00 each	\$ 0.00
Basic Fee					\$ 740.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 0.00
Total					\$ 740.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					\$ 0.00
Total Filing Fee					\$ 740.00

2. ☒ Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.
3. ☒ Amend the specification by inserting before the first line the following sentence: This is a divisional of application no. 09/343,532, filed June 30, 1999, which is hereby incorporated herein by reference.

- 4a. ☐ New formal drawings are enclosed.
- 4b. ☐ Informal drawings are enclosed.
- 5a. ☐ Priority of application no. filed on in is claimed under 35 U.S.C. §119.
- 5b. ☐ The certified copy has been filed in prior application no. , filed .
- 6. ☒ The prior application is assigned of record to EKC Technology, Inc.
- 7a. ☒ A copy of the Power of Attorney filed in the prior application no. 09/343,532, filed 6/30/99 is enclosed.
- 7b. ☐ A Power of Attorney is enclosed.
- 8. ☐ This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no., filed on be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. is enclosed.
- 9. ☐ The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no. .
- 10. ☒ Additional enclosures or instructions.
  - A copy of the Declaration as filed in the parent application; and
  - Please cancel claims 10-31, without prejudice, before calculating the filing fee.

Respectfully submitted,

Date December 4, 2001



James S. McDonald (Reg. No. 44,229)  
 for Victor N. Balancia (Reg. No. 31,231)  
 PENNIE & EDMONDS LLP  
 1155 Avenue of the Americas  
 New York, N.Y. 10036-2711  
 (212) 790-9090



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: *Peyne, et. al.*

Confirmation No. 3734

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Filed: 12/04/2001

Examiner: J. Maldonado

For: POST ETCH CLEANING  
COMPOSITION FOR DUAL  
DAMASCENE SYSTEM

Attorney Docket No.: 8317-0423-999

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FEE TRANSMITTAL SHEET

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The fee required to be filed with the accompanying amendment of even date herewith concerning the above-identified application has been estimated to be \$54.


The claim amendment fee has been estimated as shown below:

(Col. 1)			(Col. 2)		(Col. 3)		SMALL ENTITY			OTHER THAN A SMALL ENTITY		
CLAIMS REMAINING AFTER AMENDMENT			HIGHEST NO PREVIOUSLY PAID		PRESENT EXTRA		RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE	
TOTAL	23	MINUS	20		3		x 9	\$		x 18	\$	54
INDEP.	3	MINUS	3		0		x 42	\$		x 84	\$	0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM								\$			\$	0
							TOTAL	\$	OR	TOTAL	\$	54

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.  
A copy of this sheet is enclosed.

Respectfully submitted,

Date March 24, 2003

  
James S. McDonald  
For Victor N. Balancia  
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Reg. No. 44,229  
Reg. No. 6,231

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